

SUBJECT: INTERNAL AUDIT PROGRESS REPORT

DIRECTORATE: CHIEF EXECUTIVE AND TOWN CLERK

REPORT AUTHOR: AMANDA STANISLAWSKI AUDIT MANAGER

1. Purpose of Report

- 1.1 To present the Internal Audit Progress Report to the Audit Committee, incorporating the overall position reached so far, and summaries of the outcomes of audits completed during the period.

2. Background

- 2.1 The Internal Audit progress report attached (Appendix A) covers the following areas:-
- Progress against the plan
 - Summary of Audit work
- 2.2 The report highlights progress against the audit plan up to 15 May.

3. Progress Report

- 3.1 Seven reports have been issued since the previous Progress Report in January. These include Contract Management (Limited), IT Asset Management (Limited), NNDR (Substantial), Staff Recruitment, Selection and Retention (Substantial), Cyber Security (Substantial), Treasury Management (Substantial) and Follow-ups (High). We have also issued an update report on the Performance Management Audit which received Limited Assurance in 2022/23.
- 3.2 The report does not contain the performance information this time as this is included within the Annual Report which is included within the same agenda.
- 3.3 Recruitment has been more positive with the recruitment of the Auditor, and the Principal. The Auditor started with us in February and the Principal started in July.

4. Organisational Impacts

4.1 Finance (including whole life costs where applicable)

There are no direct financial implications arising as a result of this report.

4.2 Legal Implications including Procurement Rules

There are no direct legal implications arising as a result of this report.

4.3 Equality, Diversity and Human Rights

The Public Sector Equality Duty means that the Council must consider all individuals when carrying out their day-to-day work, in shaping policy, delivering services and in relation to their own employees.

It requires that public bodies have due regard to the need to:

- Eliminate discrimination
- Advance equality of opportunity
- Foster good relations between different people when carrying out their activities

There are no direct E and D implications arising as a result of this report.

5. Recommendation

- 5.1 The Audit Committee is asked to review and comment on the content of the latest Internal Audit Progress Report.

Is this a key decision? Yes/No

Do the exempt information categories apply? Yes/No

Does Rule 15 of the Scrutiny Procedure Rules (call-in and urgency) apply? Yes/No

How many appendices does the report contain? One

List of Background Papers: None

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